# §535.101

# Subpart F—Action on Agreements

535.601 Preliminary review—rejection of agreements.

535.602 Federal Register notice.

535.603 Comment.

535.604 Waiting period.

535.605 Requests for expedited approval.

535.606 Requests for additional information.

535.607 Failure to comply with requests for additional information.

535.608 Confidentiality of submitted material.

535.609 Negotiations.

# Subpart G—Reporting and Record Retention Requirements

535.701 General requirements.

535.702 Agreements subject to Monitoring Report requirements.

535.703 Monitoring report for Class A agreements.

535.704 Monitoring report for Class B agreements.

535.705 Monitoring report for Class C agreements.

535.706 Filing of minutes—including shippers' requests and complaints, and consultations.

535.707 Other documents.

535.708 Retention of records.

535.709 Application for waiver.

## Subpart H—Mandatory and Prohibited Provisions

535.801 Independent action.

535.802 Service contracts.

535.803 Ocean freight forwarder compensation.

#### Subpart I—Penalties

535.901 Failure to file.

535.902 Falsification of reports.

#### Subpart J—Paperwork Reduction

535.991 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

APPENDIX A TO PART 535—INFORMATION FORM FOR CLASS A/B AGREEMENTS AND INSTRUC-TIONS

APPENDIX B TO PART 535—INFORMATION FORM FOR CLASS C AGREEMENTS AND INSTRUC-TIONS

APPENDIX C TO PART 535—MONITORING RE-PORT FOR CLASS A AGREEMENTS AND INSTRUCTIONS

APPENDIX D TO PART 535—MONITORING RE-PORT FOR CLASS B AGREEMENTS AND IN-STRUCTIONS

APPENDIX E TO PART 535—MONITORING RE-PORT FOR CLASS C AGREEMENTS AND IN-STRUCTIONS AUTHORITY: 5 U.S.C. 553; 46 U.S.C. app. 1701–1707; 1709–1710, 1712 and 1714–1718; Pub. L. 105–383, 112 Stat. 3411.

SOURCE: 49 FR 45351, Nov. 15, 1984, unless otherwise noted. Redesignated at 64 FR 11240, Mar. 8, 1999.

EDITORIAL NOTE: Nomenclature changes to part 535 appear at 64 FR 23794, May 4, 1999.

# **Subpart A—General Provisions**

#### §535.101 Authority.

The rules in this part are issued pursuant to the authority of section 4 of the Administrative Procedure Act (5 U.S.C. 553), sections 2, 3, 4, 5, 6, 7, 8, 10, 11, 13, 15, 16, 17 and 19 of the Shipping Act of 1984 ("the Act"), and the Ocean Shipping Reform Act of 1998, Pub. L. 105-258, 112 Stat. 1902.

[65 FR 26513, May 8, 2000]

#### § 535.102 Purpose.

This part implements those provisions of the Act which govern agreements by or among ocean common carriers and agreements among marine terminal operators and among one or more marine terminal operators and one or more ocean common carriers. This part also sets forth more specifically certain procedures provided for in the Act.

[49 FR 45351, Nov. 15, 1984. Redesignated and amended at 64 FR 11240, 11241, Mar. 8, 1999]

## § 535.103 Policies.

(a) The Act requires that agreements be processed and reviewed, upon their initial filing, according to strict statutory deadlines. This part is intended to establish procedures for the orderly and expeditious review of filed agreements in accordance with the statutory requirements.

(b) The Act requires that agreements be reviewed, upon their initial filing, to ensure compliance with all applicable provisions of the Act and empowers the Commission to obtain information to conduct that review. This part identifies those classes of agreements which must be accompanied by information submissions when they are first filed, and sets forth the kind of information for each class of agreement